MANDEL & ADRIANO

United States Patent Application

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the named below) of the subject matt LIPID COMPLEXES AND MET	original, first and sole inven er which is claimed and for v HODS FOR MAKING ANI	which a	batent is sought on	ed below) or the invention	a joint inventor (if plural in entitled: MACROMOLEC	ventors are ULE-
The specification of which a. is attached hereto b. was filed on June 26, 1998 application) described and claime which I solicit a United States pat	d in international no.	105,571 filed	and was amended and as amende	•	applicable) (in the case of a (if any), which I have revie	PCT-filed wed and for
I hereby state that I have reviewed any amendment referred to above	and understand the contents	s of the a	sbove-identified sp	ecification, in	ncluding the claims, as ame	nded by
I acknowledge the duty to disclose Federal Regulations, § 1.56 (attack) I hereby claim foreign priority ber	ned hereto). refits under Title 35. United	States C	nde. 8 119/365 of	env foreion a	raliantian(a) for nature :	
that of the application on the basis a no such applications have b b such applications have been	iso identified below any fore of which priority is claimed eco filed.	ign appl	lication for patent o	r inventor's o	certificate having a filing da	te before
	EIGN APPLICATION(8), IF AN	Y, CLAII	MING PRIORITY UN	DER 35 USC 4	119	
COUNTRY	APPLICATION NUMBER	D,	ATE OF FILING 13, marth, year)		DATE OF ISSUE (day, month, year)	
ALL FORE	ign application(b), if any	, FILED	BEFORE THE PRIO	RITY APPLIC	ATION(S)	
COUNTRY	APPLICATION NUMBER	D/	ATE OF FILING 13, mouth, year)		DATE OF INSUE (day, month, year)	
I hereby claim the benefit under Ti below and, insofar as the subject m manner provided by the first parage defined in Title 37, Code of Federa or PCT international filing date of	atter of each of the claims of aph of Title 35, United State I Regulations, § 1.56(a) which	f this app es Code.	olication is not disc \$ 112. I acknowle	losed in the p	prior United States applicated disclose material information	ion in the
U.S. APPLICATION NUMBER	DATE OF FILIP	YG (day, ı	month, year)	STATUS	(patented, pending, abandoned	
08/985,625	December 5, 1997			Pending		
I hereby claim the benefit under Tit	le 35, United States Code §	119(e) o	fany United States	provisional	application(s) listed below:	
U.S. PROVISIONAL AI	PLICATION NUMBER		DA	T OF FILING	(Day, Month, Year)	
60/032,163			December 6, 1996			

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Sarah B. Adriano

Reg. No. 34,470

SaraLynn Mandel

Reg. No. 31,853

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Mandel & Adriano to the contrary.

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Mandel & Adriano 35 N. Arroyo Parkway, Suite 60 Pasadena, California 91103

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or my patent issued thereon.

2	Full Name Of Inventor	Family Name Safinya	First Gives Name Cyrus	Second Given Name R.
Ð	Residence & Citizenship	City Santa Barbara	State or Foreign Country California	Country of Citizenship USA
1	Post Office Address	Post Office Address 1128 N Patterson Avenue	City Santa Barbara	State & Zip Cods/Country California 93106/ USA
Sign	ature of Inventor	201: Cyrus ya Du	funia D	7-1-99
2	Full Name Of Inventor	Family Name Raedler	First Given Name Joschim	Second Given Name Osksy
Ģ	Residence & Citizenship	City Ourching	State or Fereign Country Germany	Country of Citizenship Georgany
2	Post Office Address	Post Office Address Romerhofweg 51, D-85748 Garching	City Garching	State & Zip Code/Country D-85748/Germany
ig n	sture of Inventor	<u> </u>	De	ate:
2	Full Name Of Inventor	Family Name Koltover	First Given Name	Second Given Name
)	Residence & Citizenship	City Pasadena	State or Fureign Country California	Country of Citizenship USA
	Post Office Address	Post Office Address 343 S. Madison Avenue	City Pasadena	State & Zip Cade/Country California 91 101/USA
ilgn:	ture of Inventor 2	03:	Da	

§ 1.56 Duty to disclose information material to patentability.

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability of disclose all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
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 (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
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 - (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim;
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 (i9 Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

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- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application:

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- (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.





United States Patent Application

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled MACROMOLECULE-LIPID COMPLEXES AND METHODS FOR MAKING AND REGULATING

	26, 1998 as application serial no. 09/105 and claimed in international no.		(if applicable) (in the case of a PCT-filed (if any), which I have reviewed and for
	reviewed and understand the contents of	f the above-identified specific	eation, including the claims, as amended by
Thereby claim foreign present finance of the application of the	.56 (attached hereto). riority benefits under Title 35, United Sta	ates Code, § 119/365 of any fo	plication in accordance with Title 37, Code of oreign application(s) for patent or inventor's rentor's certificate having a filing date before
	FOREIGN APPLICATION(S), IF ANY,	CLAIMING PRIORITY UNDER	35 USC 8 119
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (dsy, month, year)
<u>.</u>	ALL FOREIGN APPLICATION(S), IF ANY,	FILED BEFORE THE PRIORITY	(APPLICATION(S)
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)
I hereby claim the benef below and, insofar as the	it under Title 35, United States Code, § 1 subject matter of each of the claims of t	20/365 of any United States :	and PCT international application(s) listed

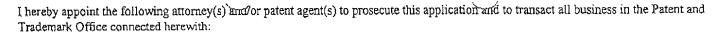
defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)
08/985,625	December 5, 1997	Pending

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)
60/032,163	December 6, 1996

63950694



Sarah B. Adriano

Reg. No. 34,470

SaraLynn Mandel

Reg. No. 31,853

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	Full Name	Family Name	First Given Name	Second Given Name
	Of Inventor	Şafinye	Сугиѕ	R.
0	Residence	City	State or Foreign Country	Country of Citizenship
	& Citizenship	Santa Barbara	California	U\$A
1	Post Office	Post Office Address	City	State & Zip Code/Country
	Address	1128 N. Patterson Avenue	Santa Barbara	California 93106/ USA
Sign	ature of Inventor 2	01:	D	ate:
	Full Name	Family Name	First Given Name	Second Given Name
2	Of Inventor	Raedler	Joachim	Oskar
0	Residence	City	State or Forcign Country	Country of Citizenship
	& Citizenship	Garching	Germany	Germany
2.	Post Office	Post Office Address	City	State & Zip Code/Country
	Address	Romerhofweg 51, D-85748 Garching	Garching	D-85748/Germany
Sign	ature of Inventor 2	102: Souch Re	add D	nte: 4. July 1999
	Full Name	Family Name	First Given Name	Second Given Name
2	Of Inventor	Koltover	Ilya	,
0	Residence	City	State or Foreign Country	Country of Citizenship
	& Citizenship	Goleta	California	USA_
3	Post Office	Post Office Address	City	State & Zip Code/Country
	Address	333 Elwood Beach Drive, Apt. 3	Goleta	California 93117/USA
Sign	ature of Inventor	203:	r	ate:

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United States Patent Application

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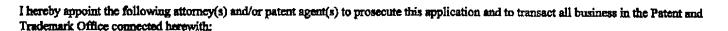
LIPID COMPLEXES A	ND METHODS FOR MAKING AND	REGULATING	
	26, 1998 as application scrial no. 09/1 and claimed in international no.	105,571 and was amended on filed and as amended on	(if applicable) (in the case of a PCT-filed (if any), which I have reviewed and for
I hereby state that I have any amendment referred	e reviewed and understand the contents to above.	of the above-identified specifica	tion, including the claims, as amended by
Federal Regulations, § 1 I hereby claim foreign p certificate listed below a that of the application of	.56 (attached hereto). riority benefits under Title 35, United and have also identified below any foren the basis of which priority is claimed ons have been filed. have been filed as follows:	States Code, § 119/365 of any for ign application for patent or investign	reign application(s) for patent or inventor's ntor's certificate having a filing date before SUSC \$ 119 DATE OF ISSUE. (day, month, year)
		(mays marins, year)	(uny, month, year)
	ALL FOREIGN APPLICATION(8), IF AN	y, filed before the priority .	APPLICATION(S)
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)
below and, insofar as the manner provided by the defined in Title 37, Cod	e subject matter of each of the claims o first paragraph of Title 35, United Stat	f this application is not disclosed es Code, § 112, I acknowledge th	nd PCT international application(s) listed in the prior United States application in the duty to disclose material information as ate of the prior application and the national

U.S. APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, shaudoned)
08/985,625	December 5, 1997	Pending

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60/032,163	December 6, 1996

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	Full Name Of Investor	Family Name Safinya	First Given Name Cyrus	Second Given Name R.
•	Residence & Citizenship	City Santa Burbara	State or Foreign Country California	Country of Citizenship USA
l 	Post Office Address	Pust Office Address 1128 N. Patterson Avenue	City Senta Barbara	State & Zip Coda/Country California 93106/ USA
igi	patere of Inventor	201:	n	Pate:
2	Full Name Of Inventor	Family Name Raedler	First Given Name Joschim	Second Given Name Onkar
0	Residence & Citizenship	City Garching	State or Foreign Country Germany	Country of Citizenzhip Germany
1	Peat Office Address	Post Office Address Romethofweg S1, D-85748 Garching	City Garching	State & Zip Code/Country D-85748/Germany
Sign	etert of Inventor 2	162:	מ	ate:
2	Full Name Of Inventor	Family Name Koltover	First Given Name Ilya	Second Given Name
ô	Residence & Citizenship	City Pasadena	State or Foreign Country California	Country of Citizenship USA
3	Post Office Address	Poet Office Address 343 S. Madison Avenue	CHy Pasadena	State & Zip Code/Country California 91101/USA
Sign	sature of Inventor 2	103: Ilera Kol	ton one	T/8/99

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